IIA Atlanta 1st Annual IT Audit Conference
Friday, May 21, 2021 and Thursday, May 27, 2021
Zoom Webinar
Program Agenda for Day 1 (5/21/2021) 9AM – 12PM

Session Time	Topic	Speaker
8:45 – 9:00	Entry into Zoom Webinar	
9:00 – 9:10	Welcome and announcements	**Julie Hower**, President of the IIA Atlanta **Bill Mulcahy**, Past President of the IIA Atlanta
9:10 – 10:00	IT Essentials	**Stephanie Nickerson**, IT Audit and Data Anlaytics Director - The Federal Reserve Bank of Atlanta **Jhamir Figueroa**, Lead Auditor - The Federal Reserve Bank of Atlanta **Brad Toomer**, Auditor - The Federal Reserve Bank of Atlanta **Nia Young**, Supervising Senior Auditor - City of Atlanta
10:00 – 10:10	**Break**	
10:10 - 11:00	Cybersecurity: CIS Top 20 Controls: Importance & Related Audit Approaches	**David Petrisky**, Director-Professional Practices (IT), The IIA; and **Saleem Khan**, Senior Manager - IT Audit, Emory
11:00 - 11:10	**Break**	
11:10 – 12:00	AICPA SOC FAQs	**Shelby Nelson**, Partner at Frazier & Deeter
12:00	Day 1 – Conference Ends	

SPEAKER BIOS AND TOPIC DESCRIPTIONS

TIME: 9:10AM – 10:00AM (Session 1)
TOPIC: IT Essentials

CONTENT DESCRIPTION:
Technology is part of our everyday lives, both at work and home. It is the foundation that supports our work activities as well as those of our individual organizations. IT Essentials will introduce some of the basic IT activities and concepts that every internal auditor needs to provide value added services. We will utilize GTAG's IT Essentials for Internal Auditors, which covers The IIA's IT Competencies Framework and aligns with the IIA's CIA exam, as the foundation for this session.

PRESENTERS:
Stephanie Nickerson, The Federal Reserve Bank of Atlanta
Jhamir Figueroa, The Federal Reserve Bank of Atlanta
Brad Toomer, The Federal Reserve Bank of Atlanta
Nia Young, City of Atlanta

Stephanie Nickerson is the Director of Information Technology Audit, Data Analytics and Process Improvement in Internal Audit at the Federal Reserve Bank of Atlanta. She leads teams responsible for performing risk-based audits, developing data analytic tools and visualizations, and identifying and implementing process improvement initiatives based on Lean Six Sigma methodology. Stephanie is a Certified Information Security Auditor, holds a Scrum Master certification from the Six Sigma Global Institute, a master's degree in Information Systems from Virginia Commonwealth University, and an MBA from Missouri State University.

Jhamir Figueroa is an Internal Audit professional with 7.5 years of experience serving industries such as financial services, consumer products, manufacturing and mining. Currently, Jhamir works for the Federal Reserve Bank of Atlanta delivering audits in the Retail Payments Office, Financial Management and Planning and Supervision and Regulation divisions. Jhamir holds a CPA license in the state of Georgia and a Scrum Master certification from the Six Sigma Global Institute.
Personal interest: Real estate investing & beach lounging.

Brad Toomer joined the Federal Reserve Bank of Atlanta in 2016 and is currently an Auditor in the Audit Department. Brad has achieved the Certified Internal Auditor and Certifies Information Systems Auditor designations. He earned his Master's degree in Accounting from the University of Virginia and a Bachelor's degree in Management from Louisiana State University. Prior to joining the Bank, Brad was an Accountant at Turner Broadcasting, responsible for Media Advertising expenditures. Additionally, Brad has held positions in both internal and external audit, including as an Auditor with Ernst & Young.

Nia Young has been a Supervising Auditor in the City of Atlanta's Auditor's Office since January 2015. She was previously a Government Operations Consultant with the Department of Education in Florida. Nia is also a member of the ALGA's Diversity, Equity and Inclusion Committee and enjoys working in the public sector to enhance services and policies that align with the public's interest.

TIME: 10:10AM – 11:00AM (Session 2)
TOPIC: Cybersecurity: CIS Top 20 Controls: Importance & Related Audit Approaches

CONTENT DESCRIPTION:
The Center for Internet Security (CIS) is a community-driven nonprofit that develops globally recognized best practices for securing IT systems and data. The CIS also supports U.S. State, Local and other governmental entities, including establishing standards for elections. The CIS Top 20 is a framework of controls derived from the security community's experience of threats in "the wild", organized into 3 main groupings of: Basic, Foundational, and Organizational.

The CIS Top 20 controls are easily mapped to other common IT control frameworks, like COBIT, and additional information is freely available on the CIS website (www.cisecurity.org), which makes it a widely accessible resource. Familiarity with the risk and control groups that make-up the CIS Top 20 will help an audit team improve their ability to provide value in assessments of cybersecurity risks and controls.

PRESENTERS:
David Petrisky, Director-Professional Practices (IT), The IIA
Saleem Khan, Senior Manager - IT Audit, Emory

David Petrisky is the Director of Professional Practices (IT Audit) at the Institute of Internal Auditors. Prior to joining the IIA, David was an instructor at Georgia State University and employed by MARTA as their IT Audit Director. He received his Executive MBA from Kennesaw State University and MPA in Accounting from J. Mack Robinson School of Business at Georgia State. His certifications include CISA, CRMA, CPA and CIA.

Saleem Khan is a Senior Information Technology Audit Leader with a demonstrated history of working in multiple industries. Saleem is currently the Senior Manager of IT Audit & Advisory at Emory University. He is skilled in IT Audit and Management. Prior to joining Emory, Saleem was employed with AT&T as a Senior Audit Manager and with Coca Cola as Security Access Governance Specialist. He has his Master of Business Administration (MBA) focused in Management of Technology from Georgia Institute of Technology.

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TIME: 11:10AM – 12:00PM (Session 3)
TOPIC: AICPA SOC FAQs

CONTENT DESCRIPTION:
Join the 2020 AICPA SOC School curriculum author and instructor for a guided conversation using the recently published ACIPA SOC FACs.

PRESENTER:
Shelby Nelson, CISA, CISSP, CDPSE, Advanced SOC, Cyber SOC | Partner, Frazier & Deeter

As a Partner in FD's PRG practice, Shelby Nelson contributes over 23 years of diverse experience in external and internal audit as well as project and operational risk management. Her career includes responsibility for the successful creation, execution, implementation, optimization and testing of operational and IT controls, as well as specialization in SOC consulting, lecturing and reporting. Shelby has a strong track record of building trusted relationships with all levels of employees, colleagues and clients. Shelby has worked with a variety of institutions and industries, assisting clients with reviewing and establishing internal controls over IT environments, regulatory compliance and industry framework assessments.

From beginning her career at PricewaterhouseCoopers, to IT Audit Manager of a global insurance and investment company and then becoming the longest tenured and only female Chief of Staff of a multi-billion-dollar investment organization, she understands the importance of internal control, operational, business and investment risk management, communication, compliance, transparency and delivery.

Shelby is the only professional within FD to obtain both the AICPA CyberSOC and Advanced SOC certifications. Leveraging those credentials, Shelby continually gives back to the profession as the author of the 2020 AICPA SOC for Service Organizations School (SOCSO) curriculum developed for both in-person and virtual live delivery. An instructional package of over 600 content slides, 2 illustrative reports, exam questions, knowledge checks and application exercises. She has been an AICPA SOCSO instructor since 2018. She has also contributed writing for the AICPA on COSO application exercises. Shelby is also an AICPA SOC Peer Review Specialist, giving her valuable insights to SOC examinations performed by firms of all sizes. Shelby is also a frequent speaker for professional organizations locally and internationally and client events.

Active in her community, she is a board member of the Atlanta Chamber Players, Audit Committee Community Advisor for Atlanta Public Schools, and a past recipient of the Obama Presidential Volunteer Service Award. She was named to the 2021 Atlanta Business Chronicle's "People On The Move", and cited in "Auditors: SOC 2 exams have become 'more challenging'": The Title Report, a publication for title insurance companies, December 2019. Shelby holds both her Bachelors and Masters Degrees in Accounting from the University of Mississippi.

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Session Time	Topic	Speaker
8:45 – 9:00	Entry into Zoom Webinar	
9:00 – 9:10	Welcome and announcements	**Julie Hower**, President of the IIA Atlanta **Bill Mulcahy**, Past President of the IIA Atlanta
9:10 – 10:00	Auditing Vendor Third-Party Risk	**Mike Lisenby**, Managing Partner Rausch Advisory Services
10:00 – 10:10	**Break**	
10:10 - 11:00	Hybrid Workforce: Has Auditing Thrived or Died	Moderator: **Michelle Chelemer**, Truist Panelists: **Brandy Weaver,** Truist **Jasmine Bates,** Federal Reserve Bank **Aaron Sentell,** Federal Reserve Bank
11:00 - 11:10	**Break**	
11:10 – 12:00	The Importance of Strong Identity Access Management Controls	**Anuj Sahai**, Senior Manager with Deloitte, and **Anu Balakrishnan**, Specialist Leader in Risk and Financial Advisory (RFA) Cyber Risk Offering Portfolio of Deloitte
12:00	Day 2 – Conference Ends	

SPEAKER BIOS AND TOPIC DESCRIPTIONS

TIME: 9:10AM – 10:00AM (Session 1)
TOPIC: Auditing Vendor Third-Party Risk

CONTENT DESCRIPTION:
Third-Party Risk is the potential risk that arises from organizations relying on outside parties to perform business services or activities on their behalf. Organizations are faced with a growing awareness that risk and compliance challenges no longer stop at traditional organizational boundaries. Establishing the wrong business relationships—or allowing current ones to sour through poor management—can force an organization to confront reputational and existential threats.

Is your organization ensuring that the use of service providers and IT suppliers does not create an unacceptable potential for business disruption, regulatory impact or a negative impact on business performance? Enterprises must assess, monitor and manage their risk exposure from third-party suppliers that provide IT products and services, or that have access to enterprise information.

We will explore best practices, discuss a robust and automated third-party compliance program, consisting of third-party screening and onboarding procedures, risk assessments, ongoing monitoring, and corrective or preventive actions.

PRESENTER:
Mike Lisenby, President and CEO, Rausch Advisory Services

Michael Lisenby has been the president and CEO of Rausch Advisory Services since January 2021. He is responsible for the firm-wide operations, with the executive team reporting to him. Michael has been with Rausch since its inception in 2013. He served previously as managing partner. Michael has over 20 years of experience helping businesses manage their accounting, finance, technology resources, and consulting needs effectively. His experience includes consulting and co-sourcing, IT audits, SOX compliance, technology security assessments, risk identification, assessment and evaluation, risk response, risk monitoring, IS control design and implementation, IS control monitoring and maintenance.

Prior to joining Rausch Advisory Services, Michael held leadership roles with Arthur Andersen and several other National Consulting Firms and has prior internal audit experience with Fortune Brands and Philip Morris. He currently serves on the Board of Directors for the Institute of Internal Auditors Atlanta Chapter and formally served on the Board of Directors for Information Systems Audit and Control Association (ISACA/Atlanta & Milwaukee).

Michael holds a CRISC (Certified in Risk and Information Systems Control) Certification and a CDPSE (Certified Data Privacy Solutions Engineer). Michael continues to speak nationally at events and has been published on information security topics to include: IT Audit & Governance, Malware, Ransomware and Spear Phishing. He was awarded the William Mulcahy Excellence through leadership Award by the Institute of Internal Auditors.

TIME: 10:10AM – 11:00AM (Session 2)
TOPIC: Hybrid Workforces
CONTENT DESCRIPTION:
Hybrid Workforce: Has Auditing Thrived or Dived?
This session will include a panel of auditors who have utilized multiple tools and techniques throughout the pandemic to navigate the full time work from home environment that has enabled successful collaboration and execution of the audit lifecycle.

PRESENTERS/PANELISTS:
Moderator: **Michelle Chelemer,** Truist
Panelists:
Brandy Weaver, Truist
Jasmine Bates, Federal Reserve Bank
Aaron Sentell, Federal Reserve Bank

Michelle Chelemer is a Senior Internal Audit Manager within Truist Audit Services where she is responsible for managing relationships and leading audits of the Corporate & Institutional Group line of business. She joined SunTrust now Truist in 2013 as a staff auditor and has progressed through her career earning recognition for innovation in internal audit as a 2018 Emerging Leader and a recipient of the 2019 William J. Mulcahy leadership award.

Brandy Weaver is an Audit Manager on the Corporate & Institutional Group (CIG) Audit Team of Truist Audit Services. In her current role, she is responsible for leading the execution of CIG audits and CIG relationship management within the Asset Finance Line of Business.
Brandy holds a Bachelor's of Science in Accounting from Oglethorpe University and is a Certified Public Accountant. She has been with Truist, formerly SunTrust, for 5 years.

Jasmine Bates is a Lead Auditor at the Federal Reserve Bank of Atlanta and serves as a member of the leadership committee for the Bank's Asian American and Pacific Islander Employee Resource Network. She joined the Atlanta Fed in 2008 as a Project Coordinator in the Retails Payments Office (RPO) before moving to Internal Audit in 2011, where she now leads the Internal Audit function's Data Analytics and Continuous Improvement initiatives. Prior to joining the Fed, Jasmine worked in the Payments Processing industry for five years in a Technical Support role and two years as a Project Analyst. She holds a Bachelor's degree in Economics, a Master's in Project Management, and is a Certified Scrum Master, Project Management Professional, and Lean Six Sigma Green Belt.

Aaron Sentell is a Senior Data Analyst at the Federal Reserve Bank of Atlanta, where he is a member of the Internal Audit Data Analytics and Process Improvement Team. He is a Certified Information Systems Auditor and a Certified Scrum Master. He holds a Master's degree in Management Information Systems from Florida State University and a Bachelor's degree in Management Information Systems from the University of Georgia.

TIME: 11:10 – 12:00PM (Session 3)
TOPIC: The Importance of Strong Identify Access Management Controls

CONTENT DESCRIPTION:
Developing and maintaining a strong internal control environment is considered a necessity. This includes having an effective identity access management (IAM) program.
We will explore the importance and benefits of having IAM controls in place, and we'll walk you through a scenario of how audit professionals with specialized IT risk and controls skill sets worked with IAM professionals / 1st line technology to achieve key IAM program and compliance objectives.

PRESENTERS/PANELISTS:
Anuj Sahai, Senior Manager with Deloitte
Anu Balakrishnan, Specialist Leader in Risk and Financial Advisory (RFA) Cyber Risk Offering Portfolio of Deloitte.

Anuj Sahai is a Senior Manager at Deloitte with over 14 years of experience in risk and internal controls transformation services, specializing in the financial services industry. Since joining the Deloitte, Anuj has performed and managed technology reviews and assessments for enterprises performing the following types of services: risk information services; IT risk & controls; security implementations, application management services; cyber, data privacy and security services; data analytics, reporting and monitoring; internal audit services; finance operations and controls transformations providing special purpose controls reports (i.e., SSAE16 SOC 1 & 2); and financial statement (i.e., SOX, MAR/SOX) and internal control audits. His academic preparation includes a certification in public accounting (CPA), a BS in Accounting, and a BS in Computer Science and Business.

Anu Balakrishnan is a Specialist Leader in the Deloitte Risk and Financial Advisory (RFA), Cyber Risk Offering Portfolio with more than 17 years of experience in the IT industry. For the past 16 years, Anu has been focusing on delivering Identity and Access Management (IAM) solutions to clients across various industries. He is proficient in IAM solutions design and architecture and has proven skill sets in IAM project delivery using several vendor products. He has managed the delivery of multiple Identity and Access Governance implementations for various clients across US.